

FORM PTO-1595 (Modified)  
(Rev. 03-01)  
OMB No. 0651-0027 (exp. 5/31/2002)  
P08/REV03

## RECORDATION FORM COVER SHEET

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**KEVIN J. REARDON**

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **International Business Machines Corporation**

Internal Address: \_\_\_\_\_

Street Address: **New Orchard Road**City: **Armonk** State: **NY** ZIP: **10504**Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: **10-26-01**

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.

**09/933,602**I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
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B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
concerning document should be mailed:Name: **Steven Fischman**Internal Address: **Scully, Scott, Murphy & Presser**Street Address: **400 Garden City Plaza**City: **Garden City** State: **NY** ZIP: **11530**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41):.....\$ **40.00**☐ Enclosed - Any excess or insufficiency should be  
credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

**09-0458 FI-637**

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy  
of the original document.**Jay H. Anderson, Reg. No. 38,371**

Name of Person Signing

Signature

**7 Nov 2001**

Date

Total number of pages including cover sheet, attachments, and document: **2**

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(IBM Docket No.: F1S920010165US1

**ASSIGNMENT**

Whereas, I

Inventor  
and City(1) Kevin J. Reardon  
County of FairfieldCity of Riverside  
and State of Connecticut

Title

have invented certain improvements in

A SYSTEM AND METHOD FOR BUSINESS ANALYSIS AND PLANNING

Date That  
Inventor  
Signed the  
Declaration

and have executed, respectively, a United States patent application therefor on

(1) 10-26 2001.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

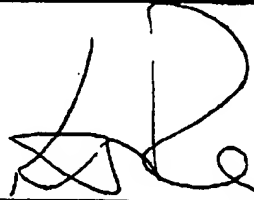
Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City

(1) Signed at Somers, NY

Date

on 10-26-01

  
\_\_\_\_\_  
(Kevin J. Reardon) inventor  
First Name Middle Initial Last Name

Atty. Docket No.: FIS920010165US1  
SSMP Docket No. 14775

(1)

Inventor:

Kevin J. Reardon

Signature:

10/26/01

Date

Residence:

40 Wesskum Wood Road  
Riverside, CT 06878

Citizenship:

USA

Post Office

Address:

Same as residence

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Atty. Docket No. FIS920010165US1  
SSMP Docket No. 14775

## Declaration and Power of Attorney for Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (If only one name is listed below) or an original, first and joint inventor (If plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A SYSTEM AND METHOD FOR BUSINESS ANALYSIS AND PLANNING  
the specification of which (check one)

☐  
☒

is attached hereto.

was filed on 08-21-01 as Application Serial No. 09/933,602 and was amended on

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

## Prior Foreign Application(s):

Number	Country	Day/Month/Year	Priority Claimed
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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

## Prior U.S. Applications:

Serial No.	Filing Date	Status
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Joseph P. Abate, Reg. No. 30,238; Jay Anderson, Reg. No. 38,371; Ira D. Blacker, Reg. No. 29,894; Steven Capella, Reg. No. 33,086; Daryl K. Neff, Reg. No. 38,253; Eric W. Patraske, Reg. No. 28,459; H. Daniel Schuurmann, Reg. No. 35,791; William P. Skladony, Reg. No. 33,787; Marc D. Schoeler, Reg. No. 28,989; Tiffany L. Townsend, Reg. No. 43,199; T. Rao Coca, Reg. No. 29,784; Harold Huberfeld, Reg. No. 26,866; Todd M.C. Li (Reg. No. 45,554); Susan Murray, Reg. No. 38,252; Margaret A. Pepper, Reg. No. 45,008; Christopher A. Hughes, Reg. No. 26,914; Edward A. Pennington, Reg. No. 32,588; John E. Hoot, Reg. No. 26,279; Joseph C. Redmond, Jr., Reg. No. 18,753; Richard L. Catania, Reg. No. 32,608; Leopold Presser, Reg. No. 19,827; Frank S. DiGiglio, Reg. No. 31,346; Kenneth L. King, Reg. No. 24,223 and Edward W. Grotz (Reg. No. 33,705); Steven Fischman (Reg. No. 34,594).

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